

# Ranking Templates for Linear Loops

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# Termination

- ▶ safety reduced to reachability - liveness reduced to termination

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- ▶ safety reduced to reachability - liveness reduced to termination
- ▶ neither provable nor refutable by testing
- ▶ computing fixpoint on sets of states does not work
- ▶ ranking function (decreasing, bounded, contradiction!)

# Research directions

## 1. practical tools for termination analysis

*Urban, Miné*    **An Abstract Domain to Infer Ordinal-Valued Ranking Functions**    (ESOP 2014)

*Brockschmidt, Cook, Fuhs*    **Better Termination Proving through Cooperation**    (CAV 2013)

*Kroening, Sharygina, Tsitovich, Wintersteiger*    **Termination analysis with compositional transition invariants**  
(CAV 2010)

*Cook, B., Podelski, A., Rybalchenko, A.*    **Terminator: Beyond safety**    (CAV 2006)

...

## 2. decidability of termination for restricted classes of programs

*Ben-Amram, Genaim*    **Ranking functions for linear-constraint loops**    (POPL 2013)

*Ben-Amram, Genaim, Masud*    **On the Termination of Integer Loops**    (VMCAI 2012)

*Tiwari*    **Termination of Linear Programs**    (CAV 2004)

...

## 3. constraint-based synthesis of ranking functions for loops

*Cook, Kroening, Rümmer, Wintersteiger*    **Ranking function synthesis for bit-vector relations**    (FMSD 2013)

*Rybalchenko*    **Constraint solving for program verification theory and practice by example**    (CAV 2010)

*Colón, Sankaranarayanan, Sipma*    **Linear invariant generation using non-linear constraint solving**    (CAV 2003)

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# Ranking functions for loops - applications

- ▶ termination analysis for programs
  - ▶ Terminator (Cook, Rybalchenko, et al.)
  - ▶ T2 (Brockschmidt, et al.)
  - ▶ Tan (Chen, Kroening, Wintersteiger, et al.)
  - ▶ Ultimate Büchi Automizer (H. et al.)

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  - ▶ Ultimate Büchi Automizer (H. et al.)
- ▶ cost analysis
- ▶ stability of hybrid systems



► affine-linear ranking functions

*Colón, Sipma*    **Synthesis of Linear Ranking Functions**    (TACAS 2001)

*Podelski, Rybalchenko*    **A complete method for the synthesis of linear ranking functions**    (VMCAI 2004)

*Bradley, Manna, Sipma*    **Termination Analysis of Integer Linear Loops**    (CONCUR 2005)

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*Bradley, Manna, Sipma*    **Linear ranking with reachability**    (CAV 2005)

*Alias, Darte, Feautrier, Gonnord*    **Multi-dimensional Rankings, Program Termination, and Complexity Bounds of Flowchart Programs**    (SAS 2010)

*Cook, See, Zuleger*    **Ramsey vs. Lexicographic Termination Proving**    (TACAS 2013)

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## ▶ multiphase ranking functions

*Bradley, Manna, Sipma*    **The polyranking principle**    (ICALP 2005)

**one method to synthesize them all**

## Ranking function

*Loop*( $x, x'$ )

# Ranking function

$$\forall x x'. \text{Loop}(x, x') \rightarrow f(x) > f(x') \wedge f(x) > 0$$

decreasing      bounded

# Synthesis of ranking function

$$\forall x x'. \text{Loop}(x, x') \rightarrow f(x) > f(x') \wedge f(x) > 0$$

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Idea:

- ▶ write definition as logical formula,
- ▶ let theorem prover find satisfying assignment for **free variables**



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Solution:

- ▶ use template  $T(x, x')$

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# Synthesis of affine-linear ranking function

$\forall x x'. \text{Loop}(x, x') \rightarrow \mathbb{T}(x, x')$

where the template  $\mathbb{T}(x, x')$  is

$$f(x) > f(x') \wedge f(x) > 0$$

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bounded

and  $f(x)$  is a shorthand for the affine-linear term  $c_1 \cdot x_1 + \dots + c_n \cdot x_n + c_0$

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- Difficult!
- ▶ universal quantification ( $\forall x \dots$ )
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## Lemma (Farkas)

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# Lexicographic ranking function

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program state  $\mapsto$  lexicographic ordered tuple

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Linear lexicographic ranking function

each entry of the tuple defined by linear function

$$\mathbf{f}(x) = ( \mathbf{f}_1(x), \dots, \mathbf{f}_k(x) )$$



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Recall:

Idea:

- ▶ write definition as logical formula,
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# Linear lexicographic ranking functions

$$T(x, x') := \left( \begin{array}{l} \text{f}_1 \text{ bounded} \quad \text{f}_2 \text{ bounded} \\ \text{f}_1(x) \geq 0 \wedge \text{f}_2(x') \geq 0 \wedge \\ \left( \text{f}_1(x) > \text{f}_1(x') \vee \text{f}_1(x) \geq \text{f}_1(x') \wedge \text{f}_2(x) > \text{f}_2(x') \right) \\ \text{f}_1 \text{ decreasing} \quad \text{f}_1 \text{ not increasing} \quad \text{f}_2 \text{ decreasing} \end{array} \right)$$

# Linear lexicographic ranking functions

$$\tau(\mathbf{x}, \mathbf{x}') := \begin{array}{l} \mathbf{f}_1 \text{ bounded} \quad \mathbf{f}_2 \text{ bounded} \\ \mathbf{f}_1(\mathbf{x}) \geq 0 \wedge \mathbf{f}_2(\mathbf{x}') \geq 0 \wedge \\ \left( \mathbf{f}_1(\mathbf{x}) > \mathbf{f}_1(\mathbf{x}') \vee \mathbf{f}_1(\mathbf{x}) \geq \mathbf{f}_1(\mathbf{x}') \wedge \mathbf{f}_2(\mathbf{x}) > \mathbf{f}_2(\mathbf{x}') \right) \\ \mathbf{f}_1 \text{ decreasing} \quad \mathbf{f}_1 \text{ not increasing} \quad \mathbf{f}_2 \text{ decreasing} \end{array}$$

each  $\mathbf{f}(\mathbf{x})$  is a shorthand for an affine-linear term  $\mathbf{c}_1 \cdot \mathbf{x}_1 + \dots + \mathbf{c}_n \cdot \mathbf{x}_n + \mathbf{c}_0$

# Linear lexicographic ranking functions

$$\begin{array}{c} \mathbf{f}_1 \text{ bounded} \quad \mathbf{f}_2 \text{ bounded} \\ \mathbf{f}_1(\mathbf{x}) \geq 0 \wedge \mathbf{f}_2(\mathbf{x}') \geq 0 \wedge \\ \mathsf{T}(\mathbf{x}, \mathbf{x}') := \left( \mathbf{f}_1(\mathbf{x}) > \mathbf{f}_1(\mathbf{x}') \vee \mathbf{f}_1(\mathbf{x}) \geq \mathbf{f}_1(\mathbf{x}') \wedge \mathbf{f}_2(\mathbf{x}) > \mathbf{f}_2(\mathbf{x}') \right) \\ \mathbf{f}_1 \text{ decreasing} \quad \mathbf{f}_1 \text{ not increasing} \quad \mathbf{f}_2 \text{ decreasing} \end{array}$$

$\forall \mathbf{x} \mathbf{x}' . \text{Loop}(\mathbf{x}, \mathbf{x}') \rightarrow \mathsf{T}(\mathbf{x}, \mathbf{x}')$

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**f<sub>1</sub> bounded**      **f<sub>2</sub> bounded**

**f<sub>1</sub> decreasing**      **f<sub>1</sub> not increasing**      **f<sub>2</sub> decreasing**

$\forall \mathbf{x} \mathbf{x}'. \text{Loop}(\mathbf{x}, \mathbf{x}') \rightarrow \tau(\mathbf{x}, \mathbf{x}')$

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$\forall \mathbf{x}. (\dots \rightarrow \dots) \quad \text{iff} \quad \exists \vec{\lambda} (\dots)$

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*Annotations:*

- $\mathbf{f}_1$  bounded (points to  $\mathbf{f}_1(\mathbf{x}) \geq 0$ )
- $\mathbf{f}_2$  bounded (points to  $\mathbf{f}_2(\mathbf{x}') \geq 0$ )
- $\mathbf{f}_1$  decreasing (points to  $\mathbf{f}_1(\mathbf{x}) > \mathbf{f}_1(\mathbf{x}')$ )
- $\mathbf{f}_1$  not increasing (points to  $\mathbf{f}_1(\mathbf{x}) \geq \mathbf{f}_1(\mathbf{x}')$ )
- $\mathbf{f}_2$  decreasing (points to  $\mathbf{f}_2(\mathbf{x}) > \mathbf{f}_2(\mathbf{x}')$ )

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## Lemma (Farkas)

$$\forall \mathbf{x}. (\dots \rightarrow \dots) \quad \text{iff} \quad \exists \vec{\lambda} (\dots)$$

## Theorem (Motzkin)

$$\forall \mathbf{x}. \neg (\dots \leq \dots \wedge \dots < \dots) \quad \text{iff} \quad \exists \vec{\lambda} (\dots)$$

# Ranking Template

$\forall \mathbf{x}, \mathbf{x}'$ .  $Loop(\mathbf{x}, \mathbf{x}') \rightarrow T(\mathbf{x}, \mathbf{x}')$

- ▶ “building blocks” linear functions  $f(\mathbf{x}) = c_1 \cdot x_1 + \dots + c_n \cdot x_n + c_0$

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- ▶ boolean combinations of linear inequalities (Motzkin applicable)



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- ▶ well-founded

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- ▶ “building blocks” linear functions  $f(\mathbf{x}) = \mathbf{c}_1 \cdot \mathbf{x}_1 + \dots + \mathbf{c}_n \cdot \mathbf{x}_n + \mathbf{c}_0$
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- ▶ well-founded

## Definition (Linear ranking template)

A linear ranking template  $\mathsf{T}(\mathbf{x}, \mathbf{x}')$  is a

- ▶ boolean combination whose atoms are of the following form

$$\sum_{\mathbf{f} \in F} \alpha_{\mathbf{f}} \cdot \mathbf{f}(\mathbf{x}) + \beta_{\mathbf{f}} \cdot \mathbf{f}(\mathbf{x}') \triangleright 0 ,$$

where each  $\mathbf{f}(\mathbf{x})$  is an affine-linear term  $\mathbf{c}_1 \cdot \mathbf{x}_1 + \dots + \mathbf{c}_n \cdot \mathbf{x}_n + \mathbf{c}_0$ , each  $\alpha_{\mathbf{f}}$ , and  $\beta_{\mathbf{f}}$  is a constant and  $\triangleright \in \{\geq, >\}$ .

- ▶ such that each instance of  $\mathsf{T}(\mathbf{x}, \mathbf{x}')$  defines a well-founded relation.

- ▶ affine-linear ranking function  
template  $T_{\text{affine}}(\mathbf{x}, \mathbf{x}')$

## Lemma

*the template  $T_{\text{affine}}(\mathbf{x}, \mathbf{x}')$  is a linear ranking template.*

- ▶ linear lexicographic ranking function  
 $k$ -lexicographic template  $T_{k\text{-lex}}(\mathbf{x}, \mathbf{x}')$

## Lemma

*the template  $T_{k\text{-lex}}(\mathbf{x}, \mathbf{x}')$  is a linear ranking template, for each  $k$*

- ▶ piecewise linear ranking function  
 $k$ -piece template  $T_{k\text{-piece}}(\mathbf{x}, \mathbf{x}')$

## Lemma

*the template  $T_{k\text{-piece}}(\mathbf{x}, \mathbf{x}')$  is a linear ranking template, for each  $k$*

- ▶ multiphase linear ranking function  
 $k$ -phase template  $T_{k\text{-phase}}(\mathbf{x}, \mathbf{x}')$

## Lemma

*the template  $T_{k\text{-phase}}(\mathbf{x}, \mathbf{x}')$  is a linear ranking template, for each  $k$*

# Why has no one used this method before?

Our explanation: recent progress in solving nonlinear arithmetic

*Jovanovic, Moura*   **Solving non-linear arithmetic**   (IJCAR 2012)

SMT solver Z3

<http://z3.codeplex.com/>

# Our tool: LassoRanker

<http://ultimate.informatik.uni-freiburg.de/LassoRanker/>

The screenshot shows a web browser window titled "Uni-Freiburg : SWT - Ultimate - Konqueror". The address bar shows the URL "https://monteverd...". The page title is "ULTIMATE WEB-INTERFACE".

On the left side, there is a configuration panel with the following sections:

- Task:** Synthesize ranking function Boogie
- Sample:** CookSeeZuleger2013TACAS-Fi...
- Tool:** LassoRanker
- Lasso Ranker toolchain*
- SETTINGS**

At the bottom of the configuration panel is a large blue button labeled "EXECUTE".

Below the configuration panel is a link "Show editor fullscreen" and a small document icon.

The main area of the interface is a code editor showing the following code:

```
8  *
9  */
10 var x,y: int;
11
12 procedure main()
13 modifies x, y;
14 {
15   while (x>0 && y>0) {
16     if (*) {
17       x := x - 1;
18     } else {
19       havoc x;
20       y := y - 1;
21     }
22   }
23 }
```

At the bottom right, there is a table with the following content:

Line	Ultimate Result
16 - 21	<b>Found 2-lex ranking function</b> Found a termination argument consisting of the 2-lex ranking function: [ $3 * y + -2, 3 * y + 3 * x + 1$ ] for which no supporting invariant is required.

## New kind of ranking function?

You can synthesize your new ranking function automatically in three steps.

- ▶ write down a template  $\mathbb{T}(\mathbf{x}, \mathbf{x}')$  for this ranking function
- ▶ prove that each instance of  $\mathbb{T}(\mathbf{x}, \mathbf{x}')$  is a well-founded relation
- ▶ add template  $\mathbb{T}(\mathbf{x}, \mathbf{x}')$  to our tool LassoRanker